

NOTICES OF SUBSTANTIVE POLICY STATEMENTS

The Administrative Procedure Act requires the publication of substantive policy statements issued by agencies (A.R.S. § 41-101(B)(14)). Substantive Policy Statements are written expressions which inform the general public of an agency's current approach to rule or regulation practice. Substantive policy statement does not include internal procedural documents which may only affect the internal procedures of the agency and does not impose additional requirements or penalties on regulated parties in accordance with A.R.S. Title 41.

NOTICE OF AGENCY SUBSTANTIVE POLICY STATEMENT

BANKING DEPARTMENT

1. Title of the substantive policy statement and the substantive policy statement number by which the policy statement is referenced:
Document title: Minimum Audit Procedures
Identification number: #BA-1
2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:
November 9, 1998
3. Summary of the contents of the substantive policy statement:
The statement establishes a new minimum audit standard for bank licensees. It does so by adopting the FDIC's "Statement of Policy Regarding Independent External Auditing Programs of State Nonmember Banks", dated June 17, 1996. The new federal standard has been published on June 24, 1996, at 61 Fed. Reg. 32438.
4. A statement as to whether the substantive policy statement is a new statement or a revision:
This statement supersedes the department's Policy Statement #BA-1, issued September 29, 1995.
5. The name, address, and telephone number of the person to whom questions and comments about the substantive policy statement may be directed:
Name: Bruce Tunell
Address: Arizona State Banking Department
2910 North 44th Street, Suite 310
Phoenix, Arizona 85018
Telephone: (602) 255-4421, Ext. 129
Fax: (602) 381-1225
6. Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:
The substantive policy statement can be viewed by visiting the Arizona State Banking Department. If copies are needed, the cost will be 25¢ a page. Please contact June Beckwith and (602) 255-4421, Ext. 113.

NOTICE OF AGENCY SUBSTANTIVE POLICY STATEMENT

DEPARTMENT OF INSURANCE

1. Subject of the substantive policy statement and the substantive policy statement number by which the policy statement is referenced:
Clarification of legislative changes to A.R.S. § 20-259.01, Circular Letter 1998-12.
2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:
The Circular Letter 1998-12 was issued on November 10, 1998.
3. Summary of the contents of the substantive policy statement:
The circular letter clarifies that an insurer does not need to provide the Uninsured Motorist and Underinsured Motorist Coverage Selection Form (UM/UIMCSF) to an insured who previously received the Consumer Information and Coverage Selection Form (CICSF).
4. A statement as to whether the substantive policy statement is a new statement or a revision:
This is a new statement.

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5. **The name, address, and telephone number of the person to whom questions and comments about the substantive policy statement may be directed:**

Name: Vista Thompson Brown
Address: Arizona Department of Insurance
2910 N. 44th Street, Suite 210
Phoenix, Arizona 85251
Telephone: (602) 912-8456
Fax: (602) 912-8452

6. **Information about where a person may obtain a copy of the substantive policy statement:**

Copies of this policy may be obtained from the internet at <http://www.state.az.us/id> or from the person listed in question #5 for 25 cents per page.